

# Will ET Write or Radiate?

(the unreasonable efficiency of  
messages in a bottle)

Christopher Rose

WINLAB

Rutgers University

Piscataway, New Jersey 08854 USA

March 9, 2006

**A truck filled with storage media,  
driven across town, is a very reliable  
high bit rate channel.**

*–Comm. Theory Collective Subconscious*

## **EPIPHANY: wireless research gone wild**

- **Interference is bad**
  - Mutual interference is a network killer
- **Mobility is good**
  - Can often tolerate delay
  - Channel especially good when nearby
- **Storage density is increasing**
  - Faster than Moore!

## **EPIPHANY: wireless research gone wild**

- **Interference is bad**
  - Mutual interference is a network killer
- **Mobility is good**
  - Can often tolerate delay
  - Channel especially good when nearby
- **Storage density is increasing**
  - Faster than Moore!

**GO POSTAL**

Forget Radio! **Write** message down! **Toss** it to recipient!

**Completely ridiculous!!**

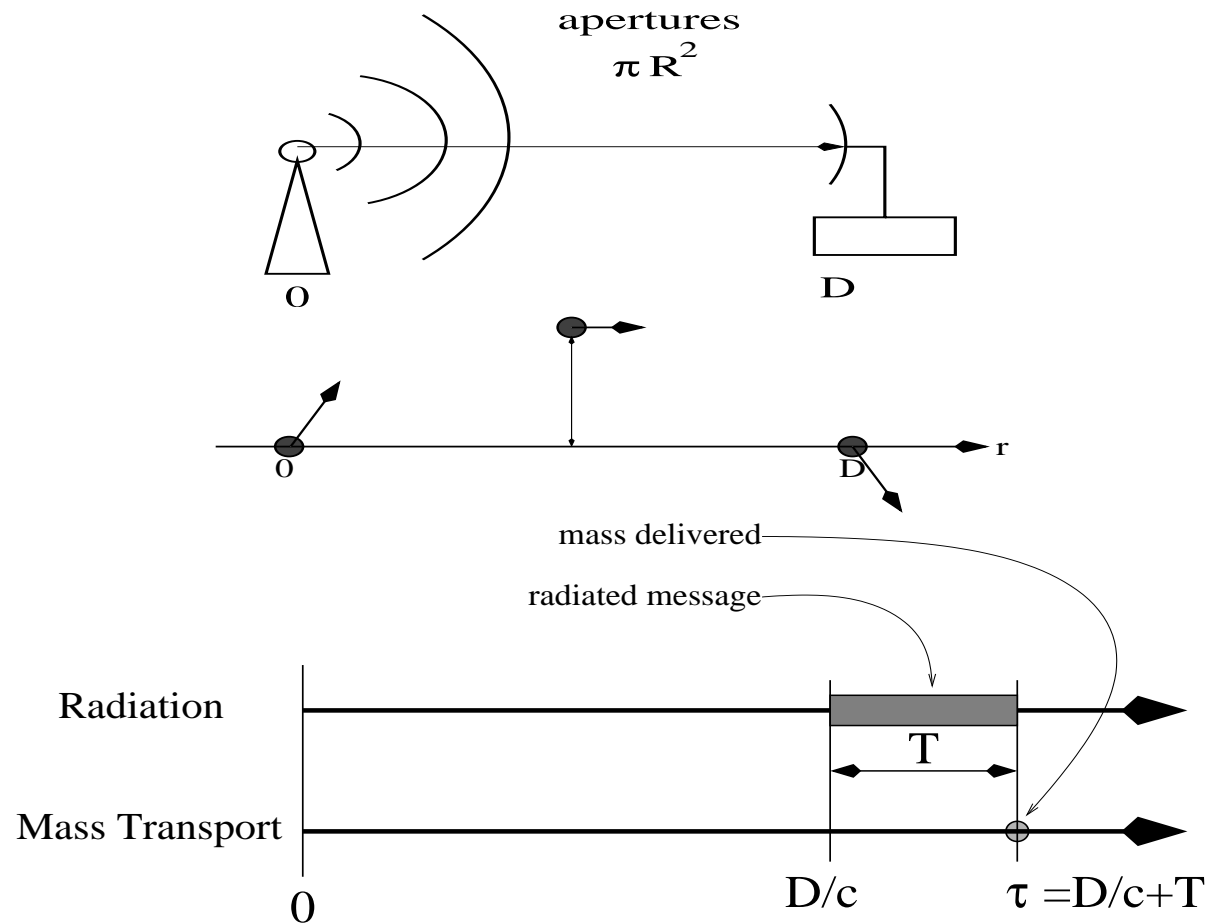
(right?)

## Tossing Is Not Easily Dismissed

- **NYC/Boston Matter Transport Energy**
  - 200 miles at 20 miles per gallon
  - $1.2 \times 10^8$  Joules per gallon
  - 100kg DVDs:  $\approx 250000$  bits/joule
- **Equivalent Radiation Energy**
  - Satellite: 5660 bits/joule  
( $3.5 \times 10^4$ km uplink,  $D^2$  propagation,  $1\text{m}^2$  dish)
  - Terrestrial (320km,  $D^4$  propagation): MUCH lower efficiency

**But ad hoc comparisons are unsatisfying ...**

## A Little Analytic Rigor



## Radiation Energy Requirements

- Energy capture

$$v(D) = \frac{AG}{4\pi D^2}$$

- **Shannon Capacity:**

$$B = CT = TW \log_2 \left( \frac{Pv(D)}{N_0W} + 1 \right)$$

- $E_r = PT$ :

$$E_r = BN_0 \frac{4\pi D^2}{AG} \frac{TW}{B} \left[ 2^{\frac{B}{TW}} - 1 \right]$$

- Large  $TW$ :

$$E_r \geq BN_0 \left( \frac{4\pi D^2}{AG} \right) \ln 2$$

## Jensen's Inequality & Rocket Science

- Average velocity:

$$\frac{1}{\tau} \int_0^{\tau} v(t) dt = \frac{D}{\tau} = \bar{v} = E[v(t)]$$

- Minimum imparted energy subject to  $\bar{v} = \frac{D}{\tau}$ :

$$\mathcal{E}^* = \min_{v(\cdot)} \max_t h(v(t))$$

$$\max_t h(v(t)) \geq E[h(v(t))]$$

- Put it all together for convex  $h(\cdot)$ :

$$\mathcal{E}^* = \min_{v(\cdot)} \max_t h(v(t)) \geq \min_{v(\cdot)} \underbrace{E[h(v(t))]}_{\text{Jensen}} \geq h(\bar{v})$$

**with equality iff  $v(t)$  is constant =  $\bar{v}$**



## Minimum Transport Energy

- GIVEN:  $h(\nu)$  and  $\bar{\nu}$

$$\mathcal{E}^* = h(\bar{\nu})$$

- **Relativistic:**  $h(\nu) = mc^2 \left( \frac{1}{\sqrt{1 - \frac{\nu^2}{c^2}}} - 1 \right)$

$$\mathcal{E}^* = mc^2 \left( \frac{1}{\sqrt{1 - \left(\frac{\bar{\nu}}{c}\right)^2}} - 1 \right)$$

- **Non-relativistic:**  $h(\nu) \approx \frac{1}{2}m\nu^2$

$$\mathcal{E}^* \approx \frac{1}{2}m\bar{\nu}^2$$

## But What About Gravity?

- $q(x)$  potential energy:

$$\mathcal{E}(t) = h(v(t)) + q(x(t))$$

- Energy minimization:

$$\mathcal{E}^* = \min_{x(\cdot)} \max_t \mathcal{E}(t, x(t), v(t)) \geq \min_{x(\cdot)} \frac{1}{\tau} \int_0^\tau \mathcal{E}(t, x(t), v(t)) dt$$

- Calculus of variations:

$$\frac{d}{dt} \left( \frac{\partial \mathcal{E}}{\partial v} \right) - \frac{\partial \mathcal{E}}{\partial x} = 0$$

$$\ddot{x} h''(\dot{x}) - q'(x) = 0$$

## Potential Field Results

- Non-relativistic:

$$m\ddot{x} = q'(x)$$

- $q'(x)$  is force at position  $x$ :  $\rightarrow$  “free fall”
- Freefall?  $\rightarrow \mathcal{E}(t) = \text{constant}$
- $\mathcal{E}(t)$  constant  $\rightarrow$  minimization satisfied with equality, so ...

## Potential Fields Results

- Low speed:

$$m\ddot{x} = q'(x)$$

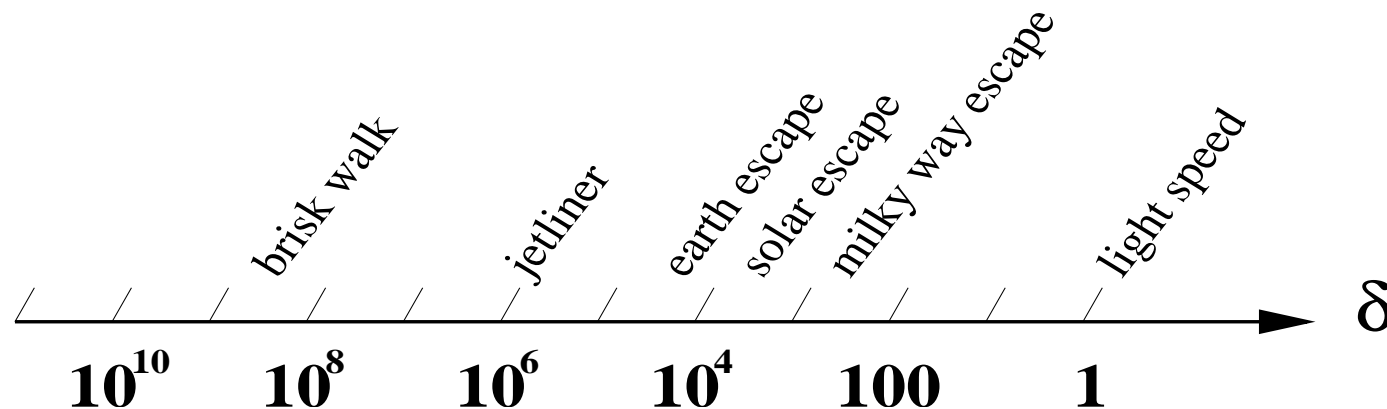
- $q'(x)$  is force at position  $x$ :  $\rightarrow$  “free fall”
- Freefall?  $\rightarrow \mathcal{E}(t) = \text{constant}$
- $\mathcal{E}(t)$  constant  $\rightarrow$  minimization satisfied with equality, so ...



## Inscribed Matter Energy Requirements

- Message size  $B$  bits, mass information density  $\tilde{\rho}$  bits/kg, Delay factor  $\delta$

$$E_w = \frac{1}{2} \frac{B}{\tilde{\rho}} \bar{v}^2 = \frac{1}{2} \frac{B}{\tilde{\rho}} \left( \frac{c}{\delta} \right)^2$$



- **Artillery:** adds a factor of 2 to energy
- **Escape:** small penalty if  $\bar{v} > 2 \times$  escape velocity

## Radiation to Transport Energy Ratio

$$\Omega \equiv \frac{E_r}{E_w}$$

$$\text{Normalized Aperture} \equiv \mathcal{A} = \frac{2R}{\lambda}$$

$$\text{Normalized Distance} \equiv \mathcal{D} = \frac{D}{2R}$$

$$\Rightarrow \Omega \geq \left[ \frac{\tilde{\rho} N_0}{c^2} \right] \left[ \frac{8}{\pi^2} \left( \frac{\mathcal{D}}{\mathcal{A}} \right)^2 \right] (2 \ln 2) \delta^2 \Leftarrow$$

Equal Receiver/Transmitter Apertures

## Information Density, $\tilde{\rho}$

### How About Black Holes?

- Schwarzschild Radius:  $r = 2GM/c^2 = 1.5 \times 10^{-27} M$
- Info content goes as event horizon *surface area*:  $10^{72} r^2$  bits

$$\tilde{\rho} = 1.5 \times 10^{45} r \text{ bits/kg}$$

- Microhole ( $1\mu\text{m}$  radius):  $1.5 \times 10^{39}$  bits/kg
- Donut-hole sized hole (1cm radius):  $1.5 \times 10^{43}$  bits/kg

**A wee bit impractical?**

## Information Density, $\tilde{\rho}$

### How About Black Holes?

- Schwarzschild Radius:  $r = 2GM/c^2 = 1.5 \times 10^{-27} M$
- Info content goes as event horizon *surface area*:  $10^{72} r^2$  bits

$$\tilde{\rho} = 1.5 \times 10^{45} r \text{ bits/kg}$$

- Microhole ( $1\mu\text{m}$  radius):  $1.5 \times 10^{39}$  bits/kg
- Donut-hole sized hole (1cm radius):  $1.5 \times 10^{43}$  bits/kg

**VERY antisocial!**



# Empirical Mass Information Densities I

**Voyager Spacecraft:  $10^6$  bits/kg**



## Empirical Mass Information Densities II

- **20 lb paper @ 1000dpi:**  $2 \times 10^{10}$  bits/kg
- **DVD:**  $3 \times 10^{12}$  bits/kg
- **Magnetic Storage with FeO<sub>2</sub>:**  $2 \times 10^{17}$  bits/kg
- **Optical Lithography with SiO<sub>2</sub>:**  $3.85 \times 10^{18}$  bits/kg
- **E-beam Lithography with SiO<sub>2</sub>:**  $1.54 \times 10^{21}$  bits/kg
- **STM with Xe on Ni:**  $1.74 \times 10^{22}$  bits/kg
- **RNA:**  $3.6 \times 10^{24}$  bits/kg
- **Li + Be:**  $7.5 \times 10^{25}$  bits/kg

**Radiation**  
**vs.**  
**Inscribed Matter**

## Terrestrial Artillery vs. Radiation

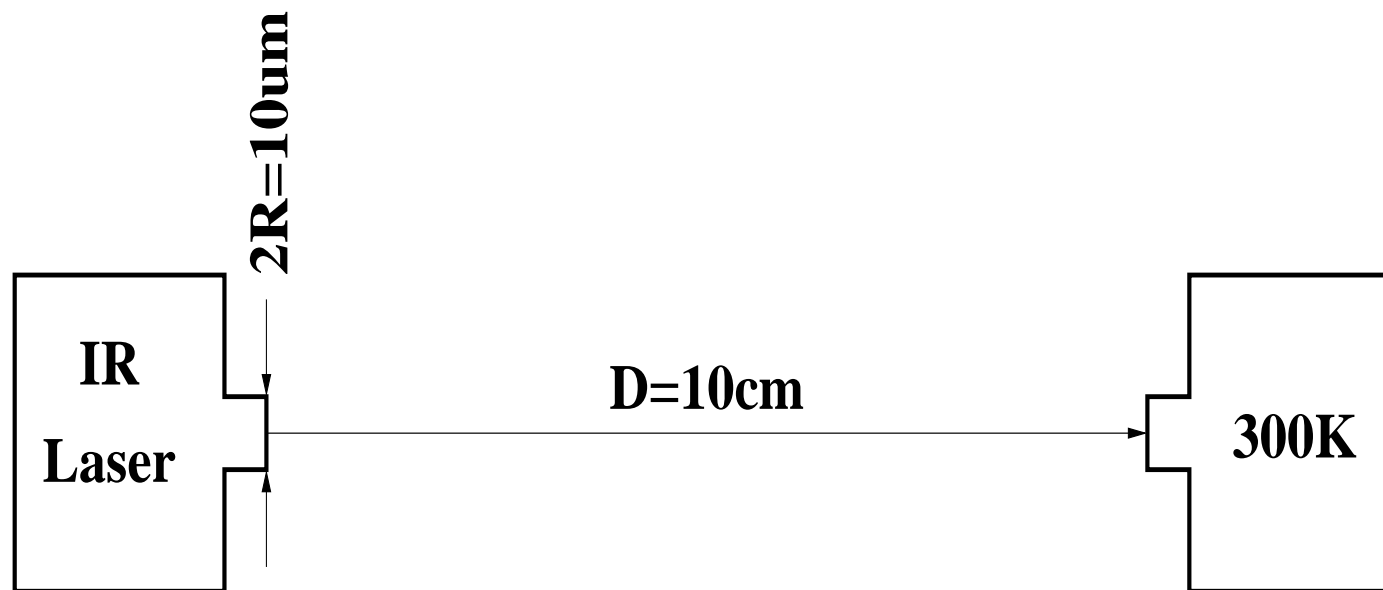
$\tilde{\rho} = 3 \times 10^{24}$ , 1 GHz Carrier,  $R = 5\text{cm}$ , Temperature 300K

Range (meters)	Transit Time	$\Omega$
10	1.43 sec	$1.3 \times 10^7$
100	4.5 sec	$1.3 \times 10^8$
$10^3$	14.3 sec	$1.3 \times 10^9$
$10^4$	45 sec	$1.3 \times 10^{10}$

**Aside:**  $\approx 4$  minutes between NYC and Boston ballistically (320km).

## Chip to Chip Laser Links

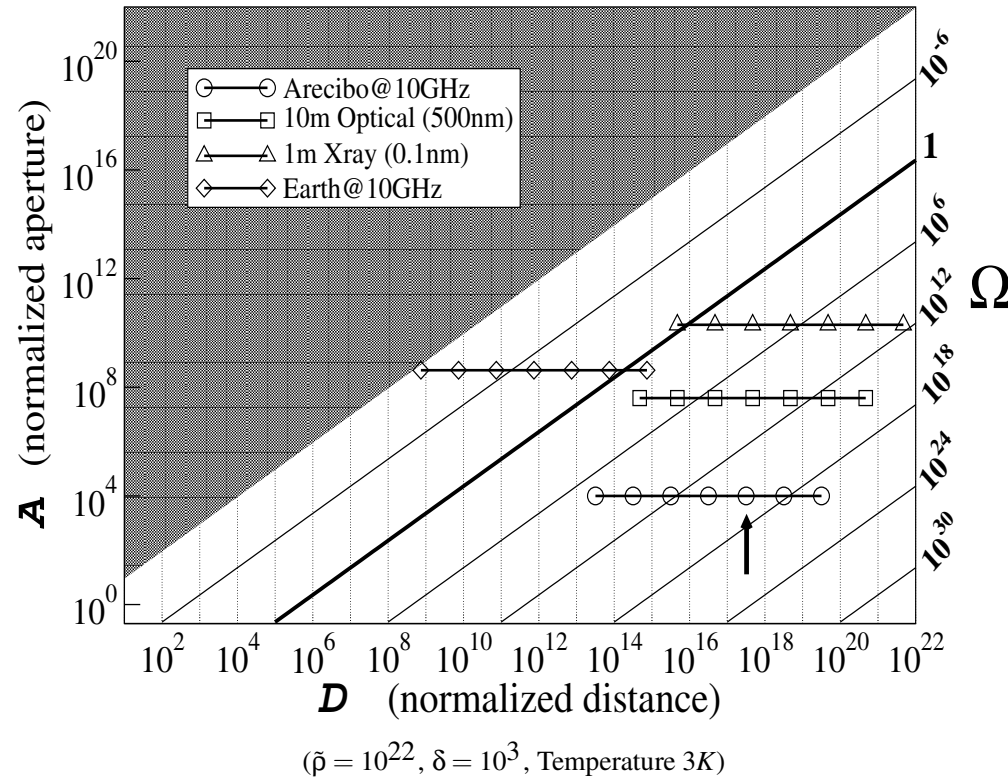
$$\delta = 10^9, \lambda = 1\mu\text{m}$$



Magnetic chits:  $\Omega \geq 10^4$

STM inscribed chits:  $\Omega \geq 5 \times 10^8$

## Interstellar



→ 10k LY, Arcibo-Arcibo:  $\Omega \geq 5 \times 10^{15}$

– Radiation/Matter: (2 megaton blast) / (Shelve 5 lb sugar bag)



## Voyager

- $10^9$  bit payload
- 900 kg mass
- Catapult launch: about 800 joules/bit

**Breakeven Distance:**  $\approx 2000$  light years

- Asides:
  - ETA nearest star:  $\approx 100$  kilo-years
  - Rocket Launch: distance up  $\times 9$ .
  - Use 3 DVDs (instead of gold disc): distance down  $\times 10$
  - Use 1 gram of “RNA”: distance down  $\times 10^6$   
( $\approx 1/4000$  distance to nearest star)

## Physics Has Spoken

**Theoretically, matter is *stunningly* more  
energy-efficient than radiation**

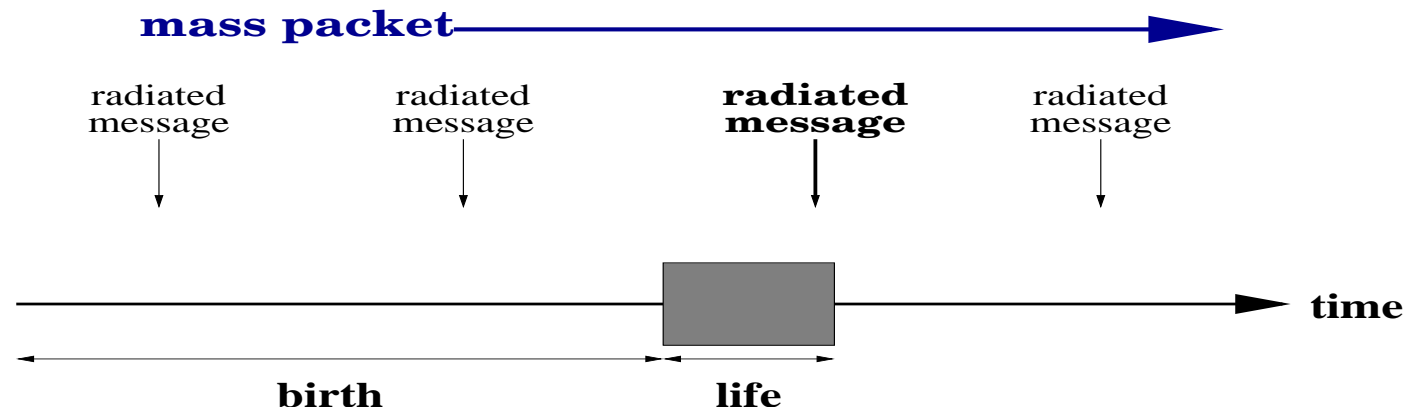
But what about ...



## Matter and Radiation Penalties

- **Radiation**
  - Impermanence and Repetition
- **Matter**
  - Broadcast
  - Inscription Energy
  - Deceleration At Target
  - Navigation
  - Preservation
  - Advertisement

## Matter Persists – Radiation Vanishes



- Civilization Birth Rate:  $\alpha = 1/10^9$  per year
- Civilization Extinction Rate:  $\beta = 1/10^6$  per year
- Success criterion  $0 \leq \Phi \leq 1$
- **How many radiated repetitions?**
  - $\Phi = 0.99 \rightarrow 2 \times 10^5$
  - $\Phi = 0.9999 \rightarrow 2 \times 10^7$

## Is Radiation Better for Broadcast?

**Radiation illuminates many → matter penalty**

- Milky Way stellar density:  $2.8 \times 10^{-2}$  stars (LY)<sup>-3</sup>
- Spherical galaxy, Arecibo receiver,  $10^{22}$  bits/kg,  $\delta = 10^3$ 
  - $R = 10^4$  LY:  $1.13 \times 10^{11}$  stars (but  $\Omega \geq 10^{25}$ )
  - $R = 10^6$  LY:  $1.13 \times 10^{17}$  stars (but  $\Omega \geq 10^{29}$ )
  - $R = 10^{11}$  LY:  $1.13 \times 10^{32}$  stars (but  $\Omega \geq 10^{39}$ )
  - **Visible Universe:**  $R = 1.3 \times 10^{10}$  LY

**No, inscribed matter still wins!**

## Inscription Energy/Speed

- **Matter Inscription/Readout Energy and Time**
  - Can be reversible and arbitrarily fast (R. Landauer)
- **Empirical energy calc:**
  - 60000 ATP/second for 20 minutes: 4639 Kbase of E-coli
  - $8 \times 10^{-20}$  J per ATP molecule
  - $6.2 \times 10^{-19}$  J bit<sup>-1</sup> ( $\approx 4$  eV bit<sup>-1</sup>).
  - $E^*$  at earth escape:  $1.68 \times 10^{-17}$  J bit<sup>-1</sup>.

**Construction energy probably not a problem**

## Parking the Package

- Assume exhaust braking
- Energy penalty (excess mass):  $e^{\frac{c}{\delta g I_{sp}}}$
- $I_{sp} \equiv$  Specific Impulse
  - Chemical:  $10^2$
  - Nuclear Electric:  $10^4$
  - Fusion:  $10^6$
- $I_{sp} = 20,000, \delta = 1000 \rightarrow$  **penalty 4.6**
- $\delta = 100$  or  $I_{sp} = 2000 \rightarrow$  **penalty  $4.4 \times 10^6$**

## Gravitational Perturbations

Angular Deflection:  $\theta \approx \frac{2MG}{v_0^2 y_0}$  (radians)

- $M = 2 \times 10^{30}$ kg (solar)
- $v_0 = c/1000$
- Stellar Density:  $2.8 \times 10^{-2}$  stars (LY)<sup>-3</sup>
- 10kLY trip mean miss distance:  $\approx 0.14$ LY

**Aim not a big problem**

## Cosmic Insults

- **Insults:**
  - High energy particle bombardment
  - Heating (diffusion)
  - Ion tracks, dislocations, subatomic cascades
- **Shielding:**
  - 10 million years at 10% bacteria viability: 3 m radius rock (3g cm<sup>-3</sup> density)
  - **penalty:**  $3.4 \times 10^6$
- **Clever Composition, Coding and Correction?**
  - need better channel characterization

**Message Advertisement?**

**Solar Space is BIG**



## Big Rock?



Somewhat antisocial

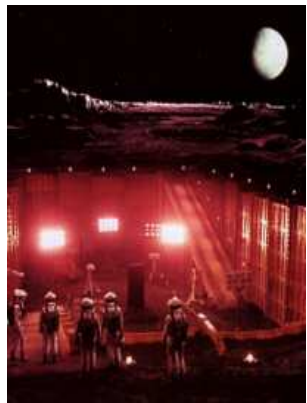
# Odd Rock?



## Seeded Comet?



# Active Probe?



# Life Boat?



Noah's micro-ark?

## CONCLUSION

---

**IF: energy important & delay acceptable**

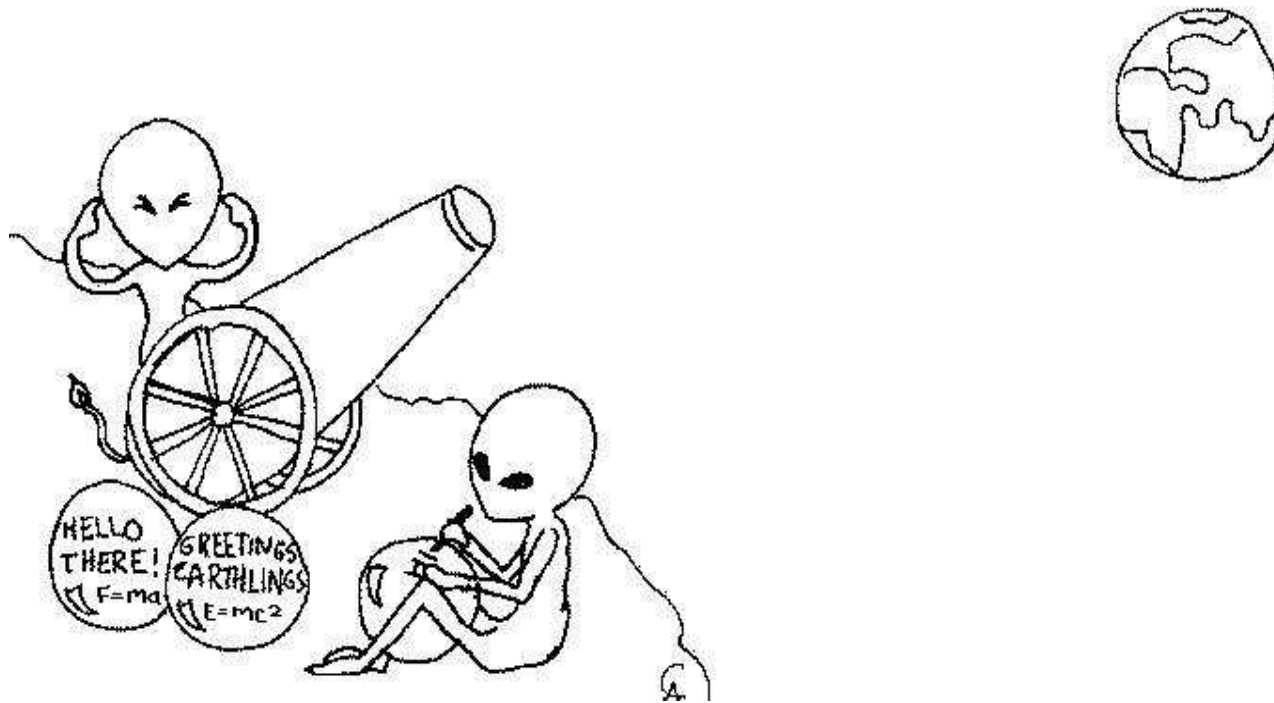
**THEN: inscribed matter messaging is efficient**

---

- Terrestrial
  - FedEx and Netflix
- Chip-to-chip or mote-to-mote
  - smart dust tossing inscribed dust
- Biological systems
  - construction/dispersal cost for messenger molecules

**And perhaps most interesting ...**

# ET Might Write, Not Radiate



**Learn More**



**Nature** 431, pp.47–49, September 2, 2004

**Web Site:** <http://www.winlab.rutgers.edu/~crose/cgi-bin/cosmic16.html>